A State Machine Design for High Level Control of an Autonomous Wheel Loader

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Abstract

This thesis is done as a part of the Autonomous machine project at Volvo Construction Equipment in Eskilstuna. The goal is to develop a high level control structure capable of performing one complete load and haul cycle at NCCs asphalt plant in Kjula at 30% productivity. A state machine which serially executes the stages necessary to complete the whole cycle is designed and integrated into the subsystems that are already developed. Due to weather conditions the system were never evaluated at the asphalt plant, instead a simulated working environment were constructed and the system reached 37.8% productivity compared to a novice driver at this site. To overcome the issues found during the development a sketch of a new system, redesigned from scratch, is also included in this report.
Acknowledgements

I would like to thank Robin Lilja for his nerdy humour that has created many laughs on days were we saw no hope. I would also like to thank Jonatan Blom who have withstood all my complaints and questions about the wheel loader during the work and of course Torbjörn Martinsson for his courage when letting two young students play with his expensive wheel loader!
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Acronyms

- .NET - A software framework for Microsoft Windows operating systems
- 2D - Two dimensional
- 3-DOF - Three degrees of freedom
- 3D - Three dimensional
- AD - Analogue to digital
- CAN - Controller area network
- DA - Digital to analogue
- DARPA - Defence Advanced Research Projects Agency
- ECU - Electronic control unit
- EKF - Extended Kalman filter
- GPS - Global positioning system
- I/O - Input/Output
- ID - Identification
- IMU - Inertial measurement unit
- IPC - Inter process communication
- PC - Personal computer
- PID - Proportional-integral-derivative
- PIP8 - A highly integrated and robust Industrial PC
- RPM - Revolutions per minute
- SLAM - Simultaneous localization and mapping
- **UKF** - Unscented Kalman filter
- **UML** - Unified Modelling Language
Chapter 1

Introduction

1.1 Background

Volvo CE is one of the largest manufacturers of construction equipment in the world today. The product line of Volvo machines covers almost any job in the construction industry. The manufacturing and development is spread around the world, in Eskilstuna where this thesis work has been done. Volvo CE has about 2000 employees. About 450 of those are working with research and development with the main focus on haulers and wheel loaders. This thesis is done at the Emerging Technologies department which has the role of using cutting edge technology for development of products for the future market.

Many wheel loaders perform very repetitive work such as loading trucks or moving gravel from point A to point B. In the future Volvo CE sees a higher demand for more automation of their machines. In the first stage this will help the drivers to get a more comfortable working situation by introducing more intelligent functions to the machine but the long term goal is to completely remove the driver, making the whole machine autonomous. To cope with this future demand the department of Emerging Technologies has started the Autonomous Machine project. The goal is to have a machine that can work for two hours at 70% productivity compared to human drivers without human intervention at NCC’s asphalt mill in Kjula by 2012. This master thesis is one part in reaching that goal. Parallel to this thesis there is another student working on a navigation interface for the machine. We are working towards the same goal but on different parts of the system. Both parts are needed to make a successful demonstrator.
1.2 Problem description

Today the system consists of separately designed subsystems that has control over the machine functions and the additional sensors. The machine has some form of general control structure between the subsystems but it’s not future proof and hard to overview and maintain. This thesis will develop a new control structure where the goal is to develop a platform that has a high level control over the subsystems and prove the design with a demonstrator that will perform at least one cycle at the asphalt mill in Kjula. The goal is also to make the platform flexible and easy to maintain for the ease of further development. To achieve these goals a study of the current systems, control systems for robots in general and the production site in Kjula has been performed.

1.2.1 Requirements

The control structure should be a state machine with the ability to handle certain errors and uncertain situations. The state machine should have full control over the subsystems and it should integrate with the already developed subsystems so as much as possible from the old system can be reused. The new platform should be developed with C++/CLI on the .NET platform. In this stage of the project the machine is designed for working in restricted areas where no humans are allowed so there are currently no requirements on safety towards humans.

1.3 Thesis outline

This section gives a brief overview of the content in the chapters of this report.

A presentation and a discussion of the current system is found in chapter 2.

Chapter 3 gives a study of related work done on autonomous systems and a short presentation of the system used by Stanford University on their car for 2005 Defence Advanced Research Projects Agency (DARPA) Grand Challenge.

In chapter 4 an investigation of the site at the asphalt plant is done. The structure of the state machine is also presented.

Chapter 5 explains how the state machine were implemented and integrated into the system.

Chapter 6 continues the report by presenting the results.

In chapter 7 a new system is presented that could solve many of the problems found in the system.

Chapter 8 summarizes the report and presents the conclusions drawn by this work.
Chapter 2

Current system

The machine used for this project is a Volvo L120E. It is a midsized wheel loader with a weight of 20 tons, capable of lifting 8 tons during normal operation. It is equipped with articulated steering which makes the machine very manoeuvrable. Wheel loaders are very versatile machines well suited for many tasks in different environments. In its standard setup it’s equipped with a boom and a tiltable bucket but there exists a broad range of tools for different work tasks. The model chosen for this project is well suited for the task because all machine functions except the breaks can be controlled through one of several electronic control units (ECU). This made the design of the hardware interface a lot easier.

Figure 2.1: The L120E model used in this project
Chapter 2: Current system

2.1 Hardware design

The first of several thesis works that has been done for this project designed a hardware interface for accessing all machine functions and also for reading all sensor values supplied by the machine. The interface simulates the control signals, such as throttle, steering and bucket manoeuvres, to the ECUs that usually comes from a control lever controlled by a human. In this way the system is easy to disable and all control can be returned to the human operator. For the brake function an extra electronically controlled brake valve had to be installed.

A rugged industrial PIP8 computer running xPC target, a realtime environment that can download and execute compiled Simulink models, is used to control the low level hardware interface. The PIP8 is equipped with an Embedded Celeron M CPU running at 1.0GHz, 1GB memory, Input/Output(I/O) cards with analogue to digital(AD), digital to analogue(DA) and controller area network(CAN) bus interfaces which are used to connect to the hardware interface giving the PIP8 full control over the machine functions and sensors. A rugged casing makes the computer suitable for harsh environments such as the environment in the wheel loader. The main task for the Simulink model is to execute low level regulators for smooth control of steering, throttle, brake and bucket manoeuvres but also for noise suppression and pre-filtering of the sensor readings. The model also has a bucket fill controller implemented which is used when filling the bucket.

![Figure 2.2: Picture of PIP8 industrial computer](image)

The PIP8 computer is in turn connected to a PC via TCP/IP. The PC runs Windows XP and is allocated for non time critical tasks such as high level strategy. The PC has the top level control of the cycle and acts as a master while the PIP8 acts as a slave and executes the commands supplied from the PC. The PC also has control over the additional sensors such as global positioning system(GPS), laser scanner etc. A complete sensor list will be presented in the next section.

2.1.1 Sensors

The machine already has many sensors installed that provide information about the machine. However to make the machine autonomous additional sensors are required. The additional sensors are explained in section external sensors below.
Chapter 2: Current system

Internal sensors

Below is a list of the most important internal sensors.

- **Position sensors**: Three potentiometers provides readings for waist, lift and tilt angles.

- **Pressure sensors**: Pressure sensors provides readings for pressures in the lift and tilt cylinders.

- **Velocity sensor**: A velocity sensor provides accurate velocity readings.

- **RPM sensor**: The engine ECU provides a revolutions per minute(rpm) reading.

External sensors

Two additional sensors are added to the machine, one laser scanner and one inertial measurement unit(IMU) combined with a GPS.

The laser scanner has been installed on the prototype machine for quite a while and the communication protocol is fully implemented. The scanner used on the machine is a Sick LMS291 capable of making horizontal scans in 75Hz with a range of 80 meters. To get a three dimensional(3D) perception of the area the scanner has been mounted on a servo motor that can tilt the scanner in the horizontal plane.

![Figure 2.3: Picture of Sick LMS291](image)

During this thesis an IMU sensor was installed by the student working on the navigation system. The sensor is a Xsens Mt-G, this sensor provides accurate position and orientation data at high sample rates. The sensor is equipped with three degrees of freedom(3-dof) gyroscopes, 3-dof accelerometers, 3-dof magnetometers and a GPS sensor. It has onboard processing of the sensors and provides a fused output from all sensors.
Chapter 2: Current system

2.2 Software design

The software for the machine is divided into two parts, one part is done in Simulink for the PIP8 computer while the other part for the PC is done in C++/CLI. The work done in this thesis work mainly considers the software for the PC so the Simulink model for the PIP8 will be explained very briefly.

2.2.1 PIP8

As mentioned before the software for the PIP8 is designed in Simulink. The main task for the Simulink model is to filter out noise from machine sensors and to run regulators for the machine functions such as lift, tilt and steering. Simple proportional(P) or proportional-integral(PI) regulators are used and they provide fairly good control in most cases. The Simulink model also has some high level functions such as a bucket fill regulator. The bucket fill regulator is capable of performing an autonomous bucket fill sequence if the machine is positioned in front of a pile. A block for navigation and positioning is being developed in parallel to this thesis by the other thesis worker [1].
2.2.2 PC

The current system was designed by a previous thesis worker. The main task was to design a program capable of performing loading of haulers autonomously, this is called the short loading cycle and is illustrated in figure 2.5. To do this a number of subsystems running in different threads were implemented. The goal of this section is to give an overview of the current system on the PC and not to go into details about the implementation.

![Figure 2.5: Illustration of the short loading cycle](image)

**Overview**

The software for the PC is implemented in C++/CLI on the .NET platform. The program is divided into several threads which each have their own task. A topology of the system is shown in Figure 2.6. Every thread is executed on a specified period, it will do its tasks and then sleep until the next period starts.

**Thread communication**

The communication between the threads is done through a mailbox object. The mailbox can be connected to two different threads, one in each end. The information sent in the mailboxes is a set of predefined messages and each thread has its own set of messages specified. The mail also have a field for data where different variables can be sent. The mailboxes only supply a storage area for the messages, the thread owning the mailbox must poll the mailbox in order to receive a new message.

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Figure 2.6: Topology of current software system

xPC Host

The communication to the PIP8 computer is always done through this thread. The thread provides a set of macros for different machine function that can be activated through the mail system but also function for setting control signals for the controllers. When a mail is received it will decode the message and send the information to the PIP8 computer where the action will be executed. The complexity of the macros differ from turning on the lights to a whole macro for loading a hauler.

Vision thread

The vision thread is responsible for the vision system, in this case the laser scanner. It controls the communication with the laser scanner but also has a set of vision algorithms that extracts information from the scan data. In the short loading cycle the laser is used for finding the location of the hauler and the pile. The thread receives a message with a request for finding a pile or finding a hauler and runs it’s algorithm and returns the result via the mailbox back to the requester.

Orientation task

This thread was reserved for navigation and positioning but nothing is yet implemented.

Supervisor task

The supervisor task was reserved for a watchdog thread but nothing is yet implemented.
Complete task

This thread is what you can call the intelligence part of the program. It contains a state machine which controls the flow during the short loading cycle. The state machine communicates with the Vision thread and xPC Host thread through the mailboxes and executes the macros in a sequence specified in the state machine. An example of the flow in the state machine could be:

- Send a mail to the vision thread to make a search for the loading point in the pile.
- Wait for response from vision thread.
- Request the macro ApproachPileAndFillBucket from the xPC Host at the coordinates received from the vision system.
- Wait for the macro to finish.

The state machine will continue with the cycle until it is told to stop.

2.3 Limitations and drawbacks

There are numerous limitations and drawbacks on the current system both in hardware and software design.

2.3.1 Sensors

The vision system and the positioning of the machine are the two biggest problems for the current sensor installation. The main limit of the current vision system is the slow update frequency when scanning in 3D. In order to have full control of the machine in an unload situation it is vital to have fast continuous feedback of the hauler or pockets location, the current system can not provide this. The positioning of the machine in the global coordinate frame is also crucial especially when the machine is navigating without vision feedback. The positioning provided by the new IMU sensor and the work done by the other thesis worker will hopefully solve this problem.

2.3.2 Software

The current software is designed from bottom and up by a number of previous thesis workers. When people is in the project for a short time and develops their part of the system it is extremely important with documentation of the functions that has been developed. One of the biggest problems with the current system is that there exists almost no documentation, sometimes not even comments in the source code. This makes it very hard for new people to get an understanding about the system and a lot of time is spent on figuring out the behaviour of already developed functions. This
documentation problem exists both in the software for the PIP8 computer and the software on the PC. Another problem is the lack of a common design strategy for the software. There is no general plan of how the final system should look like and there is no common design specifications of how the interfaces to the low level code blocks should work. This makes the code very unmodular, it is hard to make changes to the code and to reuse old functions. The system is also very undynamic due to the extremely large macro functions.

In my opinion the system needs a complete overhaul with a good design plan that is followed from scratch. Having said that the goal with this master thesis is possible to reach with the current system but it will not be as dynamic and flexible as a system could be if it was redesigned properly from scratch.
Chapter 3

Related work

3.1 Introduction

During the 80s a lot of research about control systems for autonomous robots were done. A major breakthrough was when Brooks presented the subsumption architecture [2]. In this architecture different levels of competence is stacked on top of each other and running asynchronously in parallel. Figure 3.1 shows the difference between brooks approach and the old.

![Diagram of old and subsumption architectures](image)

In Brooks design a level has no awareness of the levels above it and the output of a level can be suppressed by the higher levels. In this way it is easy to add higher level behaviour without the need of redesign of the lower levels. The subsumption architecture reached it’s limit with the
construction of the robot Herbert [3]. Since then a lot of architectures has been presented, in Three-Layer Architectures[4] the 3T architecture is presented but also a short historical overview of the development of control systems. The rest of this chapter is a case study of the 3T related control system used on Stanford University’s car Stanley that won the 2005 DARPA Grand Challenge. This system was chosen because it is a state of the art control system that obviously proved it’s capabilities by winning the Grand Challenge. The environments in the 2005 challenge are also more relevant to this thesis than the environments in the more advanced 2007 DARPA Urban Challenge. The complexity of the DARPA Grand Challenge is not comparable to the easier task that should be performed by the wheel loader but it should be good inspiration to investigate this state of the art system.

3.2 DARPA Grand Challenge

DARPA grand challenge is a competition for autonomous vehicles funded by the Defence Advanced Research Projects Agency. The first two races in 2004 and 2005 was held in the Mojave Desert in the USA. Just before the race the teams were supplied with a waypoint map with the route the cars were suppose to travel in order to reach the goal. The 2005 route was 210 km through dessert roads with sharp turns and and three tunnels. Five of the 23 finalists managed to complete the course, the winning time of 6 hours and 54 minutes were done by the Stanford University team with their car Stanley.

3.3 Stanley

This chapter will go through the top level design of the software system and describe how the data flows from sensors to actuators. If a more detailed explanation about the different modules and algorithms is required it can be found in [5] where most of the information in this chapter have been acquired.

3.3.1 Hardware

Stanley is a modified 2004 Volkswagen Touareg R5 TDI. In order to control the car it has been equipped with a interface that has control over steering, throttle, brake and gear shift. In the trunk of the car six Pentium M computers are installed and they communicate over a gigabit Ethernet connection. A lot of external sensors are also mounted on the roof rack of the car. The sensor list is found in the next section.
Chapter 3: Related work

Sensors

- 5 x SICK laser scanners
- 1 x Color camera
- 2 x 24 GHz RADAR sensors
- 1 x Omnistar HP GPS receiver
- 1 x GPS Compass
- 1 x IMU

3.3.2 Software

The software is built of about 30 modules that run in parallel. The modules is divided into six layers; sensor interface, perception, control, vehicle interface, user interface and global services.

1. The sensor interface layer is responsible for the communication with the sensors. The data is time stamped with the time from a synchronized system clock so no distortion between different data occurs. The layer receives data from each laser scanner at 75Hz, from the camera at 12Hz, the GPS and GPS compass at 10Hz and the IMU and the vehicles CAN bus in 100Hz. This layer also has the waypoint map of the course.

2. The perception layer maps sensor data into internal models. The pose of the car is estimated in this layer by an unscented Kalman filter(UKF) that provides estimates of the vehicle’s coordinates, orientation and velocities. Three different modules maps the data from the laser scanners, camera and radar into 2D maps of the environment. The map produced from the laser data is used in a road finding module that finds the center of the road. A road assessment module uses the IMU to asses the road conditions and uses this to determine a safe forward speed.

3. The control layer is running the steering, throttle and brake regulators. A path planner module uses the maps that are produced by the perception layer and creates a path for the car to follow. This path is then sent to two trajectory following controllers, one for steering and one for speed control. A top level control module takes input from the user interface and the emergency stop system and determines the general mode of the vehicle.

4. The vehicle interface layer is the interface to the cars drive-by-wire system. It has control over the throttle, brake and steering.

5. The user interface layer has a touch screen for system start up and the remote emergency stop system.
6. The **global services layer** provides a set of global services for all modules. It runs an interprocess communication (IPC) server that uses Carnegie Mellon University’s interprocess communication toolkit. The layer also has a database of all vehicle parameters that can be updated in a consistent way. A health status module monitors the other modules and restarts them if it detects a problem. This layer is also responsible for clock synchronisation and logging of all data.

A top level overview of the system can be found in figure 3.2.

![Topology view of the software system on Stanley](image)

**Figure 3.2: Topology view of the software system on Stanley[5].**

### 3.3.3 Conclusions

The sensor equipment on Stanley is far superior to the one available on the wheel loader. However, the environment where the wheel loader will operate is well known and does not have the unpredictable roads that Stanley has to drive. The price of the sensors is also of key importance if the system will be sold commercially, the equipment installed on Stanley is almost more expensive than the wheel loader itself so it would not be feasible to have such a capable sensor system installed on the wheel loader. The software architecture is what is interesting for this thesis. Stanley’s software system
has no centralized master, instead all modules run at their own pace and information only flows in one direction. This increases the information throughput of the system and minimizes latency problems. The system was built with a design plan where modularity and reliability was of key importance. The contrast between this system and the system in the wheel loader is huge. In the wheel loader modules have to ask other modules to perform tasks and then return the answers, this design strategy has huge latency problems and the information throughput is low because only one module is active at a time. Stanley’s system was developed by dozens of people that has worked with robotics research for several years so believing that a system of this calibre could be implemented over the period of a master thesis would be naive. However, understanding the principles in their design will give inspiration and help in the development of the new system.
Chapter 4

Design

To be able to make a good design for the new system it is important to understand the site where the machine is supposed to work and the different stages in the working cycle. Several visits to the asphalt mill has been done to get a better understanding of the site. On the site we have watched the site during operation and have had some short interviews with the site operators. Torjörn Martinsson, my supervisor at Volvo, has also provided a lot of information about the working cycle. With this information a work flow of the cycle has been constructed. In the following chapter the working cycle, that henceforth will be referred to as the NCC cycle, will be explained and then divided into different stages where we can see independent behaviour. These stages will then be used in the design of the state machine. The system will also contain a simple database with information about the site and a site controller, these are also explained below.

4.1 The NCC cycle

The asphalt plant needs a constant feed of ingredients during operation. The ingredients are different kinds of gravel that are stored in big piles near the plant. Depending on the recipe, the plant needs different amounts of the ingredients. The materials have to be transported from the piles to the pockets that feed a conveyor belt that in turn feeds the plant with material. There are 12 pockets and each pocket stores a specific ingredient. The wheel loaders task is to transport the material from the piles and unload the material in the correct pocket. Figure 4.1 shows a schematic overview of the site. The autonomous machine has to be able to make similar decisions to the ones made by the human operator during operation. To better understand the different problems that face the driver during the cycle it was divided into several stages where the problems for each stage was investigated. The cycle was divided into four main stages; translation to pile, fill bucket, translation to pocket and unload bucket, these four main stages where then divided into several sub-stages. The four main stages are described in detail in the following sections.
4.1.1 Translation to pile

During the translation to pile stage the main objective is to navigate the machine from its current position to the desired pile in a safe manner. The first part of the translation stage is a simple translation to the pile area. When the machine is in the correct area the driver has to find the correct pile and then choose a suitable loading point in the pile. When the loading point is located the driver navigates the machine to this point and positions the machine with the orientation of the bucket straight for the loading point. A good loading point is a point in the pile where the neighbouring material lies in a convex arc. The convexity of the pile makes it easier for the bucket to penetrate the pile and get a good fill.

4.1.2 Fill bucket

The bucket fill stage usually starts a couple of meters in front of the pile by letting the bucket scrape the ground in front of the pile. This is done to prevent the build up of a ramp with the spillage from previous bucket fills. The filling of the bucket is then done by penetrating the pile with the bucket at the same time as you lift and tilt the bucket to produce a scooping movement. When the bucket is full the driver leaves the pile by reversing in an arc so a translation towards the pockets is no longer obstructed by the pile.

4.1.3 Translation to pocket

The difference in this stage compared to the translation to pile lies only in deciding a good point for unload instead of loading. When the pocket area is reached the driver first locates the correct pocket and then navigates the machine to the pocket.
4.1.4 Unload bucket

The unloading procedure is very simple, the driver starts to lift the bucket a couple of meters in front of the pocket. When the bucket is just in front of the pocket the tilting of the bucket is initiated and the material falls down into the pocket. When the bucket is empty the machine is reversed in an arc to position the machine for a new run to the piles.

When the driver is operating the machine this cycle seem to be seamless but still very fast and accurate. To be able to get the autonomous machine to perform this cycle an analysis of the software implementation problems that exists in all stages was done.

4.2 Design problems

After the analysis of the NCC cycle a couple of problems can be identified. These problems needs to be solved and implemented in software in order to get an operational demonstrator. The problems are listed below.

- **Navigation:** The machine needs some kind of navigation algorithm that can navigate the machine from point $A$ to point $B$. This algorithm is being developed in parallel to this thesis by the other thesis worker.

- **Positioning:** To be able to navigate the machine it needs an accurate estimate of its position. This is done with a number of sensors and this estimation is also under development by the other thesis worker.

- **Path planning:** The robot needs to be able to plan paths to the location it want to go to. A simple path planning solution is included as work for this thesis.

- **Obstacle avoidance:** When navigating, the machine needs some kind of obstacle avoidance. In the scope of this thesis the site is considered to be static and no humans are allowed on the site during operation. Therefore no obstacle avoidance is used in this thesis but the need for obstacle avoidance in the future is considered in the design.

- **Choosing loading point:** The choice of loading point is a very important decision to increase the efficiency of the machine. Incorrectly chosen loading points affects the fuel consumption and in some cases it could be necessary to refill the bucket because of too low fill rate. A wisely chosen point should minimize these problems. An algorithm for finding loading points is developed as a research project at Örebro University.

- **Bucket fill:** When the machine is positioned at the loading point it needs to be able to fill the bucket. A regulator for bucket filling was developed in one of the previous thesis works that has been done for the project. If the machine is positioned in front of the pile the regulator can be initiated and it will fill the bucket.
Chapter 4: Design

- **Choosing unloading point**: The choice of unloading point is a bit easier than the choice of loading point because the pockets are stationary and always have the same unload point. The only problem is to identify the correct pocket. The algorithm developed at Örebro University is also capable of identifying the correct pocket.

- **Unloading**: The unload sequence can be performed with simple movements of the bucket and it will be implemented as an unloading macro without any vision feedback. It relies on a correct positioning of the wheel loader as it approaches the pockets. A more capable unload function is left for future development.

From the list it can be seen that most problems is either implemented or under development and with a good coordination of the work a complete system can be implemented during this thesis.

4.3 State machine

The tasks for the state machine is to have a high level control over the subsystems and using these to make the machine perform the NCC cycle. To identify the states needed in the state machine a more detailed investigation of the four previously defined stages in the cycle was performed. The focus was to identify the states needed and the entry and exit conditions for each state.

4.3.1 Translation to pile

The *translation to pile* state is illustrated in figure 4.2. The distances $R_{docking}$, $R_{nr}$ and $d_{vision}$ will be determined through experiments. The *translation to pile* is divided into two substates.

**Translation to pile area**

The first substate in this state will be called *translation to pile area*. In this state the machine will navigate on a predefined path from the pockets to the pile area. When the machine is at distance $d_{vision}$ from the docking point the vision system will be activated. The machine will continue on the predefined path at the same time as the vision system will try to find and identify the pile. If no pile is found before the machine has reached the docking point the machine will stop and do small movements until the pile is found. If the vision system has locked on the pile and the docking point has been reached a state transition to *dock loading point* will occur.

**Dock loading point**

In this state the vision system will try to find a suitable loading point. When a point is found the machine will calculate a path to this point and start to navigate towards the point. The vision system will continuously search for a better point in the area around the first located point. If the
system finds a better point a new path will be calculated to this point. The vision system will try to find better points until the point of no return is reached. When this point is reached the vision system will be turned off and the machine will continue to navigate towards the last found loading point. When this point is reached the translation to pile state is finished. A transition to the fill bucket state will now occur.

4.3.2 Fill bucket

The fill bucket state is illustrated in figure 4.3. The fill bucket state starts at the loading point, at
this point the machine should be positioned so the bucket fill regulator can be activated. The bucket fill regulator will be activated and the state machine will transition to the filling bucket state.

**Filling bucket**

In this state the bucket fill regulator will try to fill the bucket. The regulator can run into some problems such as stalling etc. If a problem occurs a transition to an error handling state will occur. If the regulator finishes without problems a transition to weight estimation state will occur.

**Weight estimation**

The weight in the bucket will be estimated to see if the bucket has a high enough fill grade. If the bucket is not full enough it should be emptied and a new fill should be performed. If it’s full a transition to the undock pile state will occur.

**Undock pile**

In this state the machine will reverse to the undock point. The undock point is a predefined point in the global frame where the machine is supposed to undock the pile. When the point is reached a transition to translation to pocket will occur.

### 4.3.3 Translation to pocket

The translation to pocket state is shown in figure 4.4. The translation to pocket state is also divided
into two substates.

**Translation to pocket area**

This state is almost identical to the *translation to pile*. The difference between the states is that the vision system tries to locate the pockets instead of the pile. When the machine has reached the docking point and has a lock on the pockets a state transition to *dock unloading point* will occur.

**Dock loading point**

This state starts at the docking point, because the pockets are stationary they always have the same unload point. The vision system will locate the pockets and send the unload point corresponding to the correct pocket to the navigation system. When the machine has reached the unload point it will transition to the *unload state*.

### 4.3.4 Unload state

An illustration of the *unload state* is shown in figure 4.5. This state starts at the unload point and

![Diagram of unload state](image)

Figure 4.5: Illustration of the *unload* state.

the machine should be positioned in front of the pocket. The unload sequence will be activated and a transition to the *unloading state* will occur.

**Unloading**

In this state the machine will empty the material in the pocket. When the bucket is emptied a transition to the *undock pocket* will occur.
Chapter 4: Design

**Undock pocket**

In the undock pocket state the machine will reverse in an arc to the undock point to prepare for the translation back to the piles. When the point is reached the cycle will restart by a transition back to the *translation to pile* state.

The cycle and states presented in the previous sections is the main task for the state machine to perform. This can be implemented in numerous ways. One of the goals for the new design was to make the implementation flexible and easy to maintain for future development. To achieve this a good base structure is critical. Another requirement was the ability for good error handling. Even though the implementation presented in this thesis will not handle all kinds of errors and uncertain situations it is important to incorporate a common error handling strategy in the base structure.

### 4.4 Sitecontroller

During production the operators need to be able to give instructions to the machine, such as which pile to dig from and which pocket to put it in. The sitecontroller is mostly allocated for future development and it’s role is to monitor the fill grade of the pockets and then give instructions to the machine so the current recipe can be followed. Another future task for the sitecontroller is to monitor the progress and speed of the working cycle. If the machine is falling behind schedule it’s the sitecontrollers role to tell the machine to speed up. There will always be a tradeoff between fuel efficiency and the working speed so sometimes it might be smarter to reduce the production rate in the asphalt plant instead. In this design the sitecontroller will only contain a hardcoded working schedule that contains a number of workorders. Every workorder contains information about which pile to dig and which pocket to unload to. Waypoint roads between piles and pockets and the undock points is stored in a small database that is used by the state machine when navigating.

### 4.5 System design

There are many standards for state machine design. In the traditional state machines Mealy or Moore design is often used. These designs work for small problem but for bigger problems state explosion often occurs. In appendix B an introduction to state machines and a more detailed presentation of the Unified Modelling Language(UML)-state machine can be found. The UML-state machine will be used in the design because of its flexibility, the concept of hierarchically nested states and that it’s used in many state machine development toolkits. To reduce complexity the new design will incorporate three newly designed state machines that will work together with the old system. The base design for the system is described in the following sections.
4.5.1 Overview

As mentioned before the new design will have three state machines working together; the main state machine, the navigation state machine and the vision state machine. The main state machine can be considered the master while the other two are slaves. The division of the state machines were done to reduce complexity and make it easier to have parallel functions running simultaneously. An overview of the system is found in figure 4.6.

![Diagram of state machines integration](image)

Figure 4.6: Overview of the integration of the state machines in the system.

4.5.2 Vision state machine

The vision state machine has control over the vision system. The vision system can run two different algorithms one for finding pockets and one for finding loading points in a pile. The vision state machine is very simple and is shown in figure 4.7.

The vision state machine remains in idle until a scan request is received. *Activate vision* will be entered as soon as a request is received, in this state a scan request will be sent to the vision computer and as soon as a confirmation is received the *scanning* state will be entered. In the *scanning* state there are two substates; *wait for data* and *send data*. *Wait for data* waits for a new data event from the vision system, when this event is received the *send data* state is entered. The *send data* state will relay the information to the main state machine and then enter *wait for data* again as soon as a confirmation is received from the main state machine. It will remain in the *scanning* state until the main state machine sends a *stop scanning* event. When this event is received the vision system will be deactivated and *idle* will be entered.
4.5.3 Navigation state machine

The navigation state machine has control over the interface to the navigation system. The navigation state machine has two modes; one for navigation in the global coordinate frame and one for navigating in the local frame. The local frames origo is set in the front axis of the machine at the start of a local navigation request. The navigation state machine is shown in figure 4.8.

The navigation state machine is very similar to the vision state machine. It remains in idle until it receives a navigation request. Depending on the type of request the state machine has two paths. A global request will trigger a transition to send global path, in this state a waypoint list will be downloaded from the database and then relayed to the navigation system in the PIPS. When the list is sent successfully it will enter and remain in the navigating state until it receives a navigation complete event. The navigation state machine will return to idle and relay the navigation complete event to the main state machine. A local request will trigger a transition to the send local path state, in the request message a desired point will be included in the data field of the message, a path to
Chapter 4: Design

this point will be calculated and sent to the navigation system. This mode will usually be used for shorter precision navigation in the range of 20-30 meters.

4.5.4 Main state machine

The main state machine is the one that has a high level control over the cycle and the machine. The main state machine is too big to explain in total right away so it will be explained in sections. The main state machine is built up of several layers and the top level can be seen in figure 4.9.

The top level mostly concerns initialization of the computers and the machine. The Init XPC state is responsible for initializing the PIP8 computer by downloading the Simulink model. If this is successful the idle state will be entered otherwise the master error state is entered were a restart can be initiated. The idle state waits for a manual command to start the machine, when the start event is received start machine will be entered. The start machine state will try to start the machine if it’s not already turned on, if this is successful the operation state will be entered. If the start sequence fails the master error state is entered. In the operation state there are three substates; Standby, NCC and Short loading cycle, the user can choose if the machine should perform the NCC cycle or the short loading cycle. The short loading cycle is not implemented in this thesis. Standby is entered if the user sends a standby command, this will interrupt the cycle and it has to be restarted.

Figure 4.9: Top level states of the main state machine.

4.5.5 Top level

The top level mostly concerns initialization of the computers and the machine. The Init XPC state is responsible for initializing the PIP8 computer by downloading the Simulink model. If this is successful the idle state will be entered otherwise the master error state is entered were a restart can be initiated. The idle state waits for a manual command to start the machine, when the start event is received start machine will be entered. The start machine state will try to start the machine if it’s not already turned on, if this is successful the operation state will be entered. If the start sequence fails the master error state is entered. In the operation state there are three substates; Standby, NCC and Short loading cycle, the user can choose if the machine should perform the NCC cycle or the short loading cycle. The short loading cycle is not implemented in this thesis. Standby is entered if the user sends a standby command, this will interrupt the cycle and it has to be restarted.
The top level state also has a state for shutting down the machine and a state for handling master errors. A master error is a critical error where operation can not continue and has to be stopped.

### 4.5.6 NCC cycle

The NCC state is the state that has the control over the NCC cycle. It is divided into the different stages that was explained in the previous sections. In figure 4.10 the top level of the NCC state can be seen. The first state, receive next workorder, will check the workorder queue for a workorder and if there is a workorder in the queue it will be executed by entering translation to pile. If the queue is empty standby will be entered.

![NCC cycle State Chart](image)

Figure 4.10: State chart of the top level in the NCC cycle

**Translation to pile**

In figure 4.11 an overview of this state can be seen. The first two substates are responsible for navigating the machine to the pile area. In the request navigation to pile state it will check the current workorder to see which pile it is supposed to navigate to. The pile number in the workorder will be sent to the navigation state machine which will check the database and download the waypoint path to the pile. This path will be sent to the navigation system and a message that the list has been received correctly will be sent. The navigating to pile substate is a wait state which waits for a message that the machine has completed the navigation. When this message is received it will enter the docking pile state. This state has two substates, wait for pile pos and send data to navSM. When this state is entered it will activate the vision system which will start looking for dig points in the pile. When a position is received the send data to navSM state will be entered. The position
will be sent to the navigation state machine where a path to that position will be calculated and sent to the navigation system. New paths will be calculated every time a new position is received and this will go on until the wanted position is reached. The vision system will now be deactivated and a bucket fill will be initiated.

**Fill bucket**

In figure 4.12 an overview of the fill bucket state can be seen. In the first substate a bucket fill request will be sent to the XPC host. If the command is received correctly an acknowledgement will be sent back. The state machine will now enter the *filling bucket* state where it will wait for the bucket fill sequence to finish. The weight in the bucket will now be estimated and depending on the fill grade two different paths can be taken. If the fill grade is too low it will request a move to a retake position. When this position is reached it will reenter the *request bucketfill* state and the bucket fill sequence is repeated. When a bucket with a good fill grade is achieved it will enter the *request undock* state. In this state the undock point for the pile will be downloaded from the
database and sent to the navigation state machine. A path will be calculated to this point and when the undock point is reached the translation to pocket state will be entered.

**Translation to pocket**

![State chart of the translation to pocket state](image)

The translation to pocket state is identical to the translation to pile state except that the vision system will use the algorithm for finding pockets instead of piles. This state can be seen in figure 4.13.

**Unload bucket**

![State chart of the unload bucket state](image)

The unload bucket state is very small and can be seen in figure 4.14. In the request unload state a request for the unload sequence will be sent. When the request has been received it will wait for the bucket to unload. When the bucket is unloaded a undock sequence identical to the one in the bucket fill state will be performed. The undock point for the pocket will be downloaded from the database.
and sent to the navigation state machine where a path will be calculated. When the undock point is reached the cycle is completed and the receive next workorder state is entered.
Chapter 5

Implementation

In this chapter the implementation of the state machine is described but also a set of smaller supplementary functions that were needed to perform the cycle.

5.1 State machine

To decrease implementation time we decided that we should use some kind of state machine development environment. A graphical development environment would be preferred but after some investigation no suitable environment was found that was compatible with C++/CLI. A implementation compatible with C++/CLI was a requirement because the rest of the project already was developed in this language. However a non graphical open source toolkit was found that could be modified to work together with the rest of the system. A short introduction to the toolkit can be found in Appendix C.

5.1.1 .NET State Machine Toolkit

In the implementation a separation between the architecture of the state machine and the application code was wanted to make it easier to modify and change the structure of the state machine without the need of changing any other code. To achieve the separation the state machine only communicates tasks through the mailbox system and does not run any application code itself. Some modifications to the toolkit were necessary to make this integration to the rest of the system and these are described in the next section.
Modifications

Two modifications were done to the toolkit. The state machine needed a way to communicate through the mailbox system so a handle to the mail system were added. A time control system that monitors the time in each state was also added to the toolkit. Every state is given a timeout period that is defined in the construction of a state. Entry times is then registered when a state is entered, the time spent in the state can then be calculated and compared to the timeout period. When a state is exited the total time spent in the state is calculated and stored in a time report. The time report is then put on a queue where a logging system will read and log the information to disk.

5.1.2 State machine controller

A control structure was built around the state machine with the task of reading the mailboxes, monitor the times in the states and log debug information to disk. The controller is running in its own thread and is executed on a predefined period. Three methods is run every time the controller executes; CheckTimeOuts, DispatchEvents and TimeLogger. The structure of the controller is shown in Figure 5.1.

![Figure 5.1: Overview of the state machine controller.](image-url)
Chapter 5: Implementation

CheckTimeOuts

This method is responsible for the time monitoring. Every state has a timeout time defined and a maximum number of timeouts that is allowed to happen in that state. The method calculates how long the current state has spent and compares it to its defined timeout time. If it has spent more time than allowed a timeout event is triggered. If the current state has timed out more times than its allowed a timeout error event is triggered. All states is handled in a generic manner and its up to the state machine to handle the timeout events. In most cases a state will just reenter itself on a timeout and a timeout error event usually produces a master error.

DispatchEvents

The state machine can have a number of mailboxes connected to different systems. The task for this method is to check all mailboxes and relay the events to the state machine. Some events contains data, in those cases the data is also relayed to the state machine.

TimeLogger

The time logger reads the timereport queue in the state machine and writes the report to a logfile. All three state machines were implemented using this structure and only changes in the definition of the state machine were required. The toolkit also provides a structured way of creating the state machine definition which makes it easy to change or create new state machines if needed in the future.

5.2 Supplementary functions

Some smaller functions that were needed to perform the cycle were also implemented. A path planner for shorter distances and a weight estimator had to be implemented to complete the cycle.

5.2.1 Path planning

A path planning algorithm using circle splines were implemented and a description of the algorithm can be found in appendix A. The implemented function takes the current position of the wheel loader, a desired position and a desired heading as arguments. The algorithm creates a smooth waypoint path between the positions that can be sent to the navigation system. It can also be used to make a smooth path between a sparse waypoint map. The algorithm was first implemented in MATLAB and then translated to C++. The output from the algorithm can be seen in figure 5.2 and figure 5.3. The algorithm has no vehicle model included so the paths can be impossible to follow therefore it is important to supply the algorithm with feasible arguments.
5.2.2 Weight estimation

To get an estimate of the fill grade after a bucket fill a very simple weight estimator was created. The estimator moves the bucket to a predefined position and reads the pressure of the lift cylinders. A function then calculates the weight from the read pressure. The function was obtained by reading the pressure with different weights in the bucket and doing a linear regression between the points. The function was not perfectly linear in all regions but it is accurate enough to distinguish a good fill from a bad fill.
Chapter 6

Results

In this chapter I present the results from this thesis. It will begin with a section about problems and compromises that had to be done along the way to get a working demonstrator. The performance of the demonstrator was investigated in a simulated working environment and the results are presented below.

6.1 Problems and compromises

During the development we ran into a number of problems which forced us to deviate from the design plan presented in chapter 4. The major changes were done in the way the machine docks the piles and pockets. The problems occurred when the scanner were scanning continuously and waypoint lists were calculated and sent to the PIP8. The network implementation in the PIP8 computer were not fast enough to receive waypoint lists at these speeds so the continuous scanning had to be removed. In the new docking procedure a global navigation takes the machine all the way to the docking point were only one scan is taken. A loading point is extracted from the scan and a path to the point is calculated and sent to the navigation system. The same procedure is done for the unloading point in the pocket. In this way all responsibility is put on the navigation system to follow the path and take the machine to the correct point. The navigation system proved to be reliable enough if the machine were positioned in a good point about 20 meters in front of the pile or pocket. A reliable navigation were most important when unloading in the pocket because a bad navigation would cause a unload of the bucket beside the desired pocket and cause a mixture of different type of materials in the pockets. When filling the bucket a navigation miss would only cause the machine to fill the bucket in a non optimal point which is not as critical as missing the pocket.
6.2 Performance evaluation

6.2.1 Test setup

The original plans to do a demonstration at the asphalt plant in Kjula had to be abandoned due to the weather conditions during the last months of this thesis. The plant were covered in snow and the roads used to get the machine to the site were too slippery to drive. Instead a simulated site were constructed at Volvo’s Customer Center in Eskilstuna. The customer center has a big area with some piles but it has no pockets so a wooden pocket were constructed. The test setup is shown in figure 6.1 and should be good enough to make a proof of concept.

![Figure 6.1: The test site at Volvo Demonstration facility](image)

On site the performance of the system were compared to the performance of a novice driver. By comparing the times it took for the human and the autonomous system to complete four cycles on the test site the productivity could be evaluated. Times were measured and compared for all four main states of the cycle and the results are presented in the next section.

6.2.2 Test results

In figure 6.2 the paths driven by the human and the autonomous system can be seen. At the pile a problem with the autonomous system can be observed.

A bug in the vision system produced faulty loading points so the machine never filled the bucket in the pile, however the bucket fill sequence were performed in the air. The time difference between this
Chapter 6: Results

Figure 6.2: Comparison between the human driver and the autonomous system

behaviour and a correct fill sequence in the pile is considered too be small enough to be neglected. Other differences can be observed in the undocking procedures at the pile and pocket. The human

driver performs more compact and aggressive undock patterns compared to the autonomous system thus saving a lot of time. Because the navigation system has problems navigating sharp manoeuvres the undock points were chosen so smooth and longer undock paths would be calculated and this is why the system performs longer undock sequences. The time and speed differences in the four states of the cycle are shown in figure 6.3 and figure 6.4. From figure 6.4 it can be seen that the human driver never stops completely for longer periods while the autonomous system have long stops at
Chapter 6: Results

Figure 6.4: Speed comparison between human driver and the autonomous system.

several parts of the cycle. The stops are needed when the machine takes a scan of the environment and if these could be removed a lot of time could be saved. The human speed is also more smooth and it almost looks like the whole cycle is done in one sweep compared to the more choppy speed curve of the autonomous system. The speed both in reverse and forward are faster when the driver performs the cycle however the speed of the autonomous system are limited so a safe operation is ensured.

The productivity of the autonomous system were calculated by dividing the mean cycle time for the human by the mean cycle time of the autonomous system. The calculations showed that the productivity of the autonomous system were 37.8% compared to a novice driver thus the productivity goal of 30% for this thesis has been reached.

6.3 Conclusions

When looking at the diagram in figure 6.3 it can be seen that the states that took the least amount of time for the human are the states that takes the longest time for the autonomous system. Especially looking at the unload state it can be seen that the autonomous system uses five times more time to unload the bucket compared to the human. The state contains fast localisation of the pocket and precision navigation towards it at the same time as a well synchronised movement of the bucket is performed, for the human novice driver this is not a problem and the unloading can be performed in a fast and smooth way. The autonomous system solves these problems in a serial way which takes a lot more time than the parallel processing done by the human. Not much time were put on the development of the unload sequence so a redesign of the unload sequence can speed up the cycle a lot. More time could also be saved easily by implementing more aggressive undock patterns both
at the pocket and the pile. In the translation states it can be seen in figure 6.2 that the human
and autonomous system drives on approximately the same paths so the only way to save time in
these states is to increase the speed. The navigation system has been tested at higher speeds with
promising results but unleashing a 20 ton machine at high speeds with only a human controlled
emergency break can result in dangerous situations. I believe that the productivity of the system
could be increased to 50%-60% with some simple fixes but the real issue that has no simple fix is
the reliability and robustness of the system.
Chapter 7

Future work

After working with this system for the last five months I still believe that the base structure of the whole system needs to be reorganised in a more modular and structured system to be able to reach the robustness and reliability goals for the future. We have proved that the current system is capable of performing a simple NCC cycle but to reach the speed and reliability needed for continuous work at a site it is not fit. The state machine designed in this thesis is built upon the old system and still have the same latency and information throughput problems as the old one. To overcome these problems the sensor and especially the laser scanner information needs to be processed and fed through the system in a different way. In this chapter I will present a new system design that I think will be able to solve the problems of the old system. The system is just a sketch and is inspired by Stanley’s system presented in chapter 3.

7.1 Proposed system

In this section I will explain the structure of the proposed system and it can be seen in figure 7.1. The system is built up of the same layers as Stanley’s; the sensor layer, the perception layer and the planning and control layer. All modules run in parallel which increases the information throughput and make the system more dynamic than the serial structure of the state machine.

7.1.1 Interprocess communication

One of the first changes that should be done is the way the threads are communicating. To minimize complexity the system should run the same operating system on all computers so a general interprocess communication service can exist throughout the whole system. Easy and fast access to sensor data must be built into the system in order to get continuous processing of the sensor data. I believe that the IPC system has a key role so a thorough research of different IPC toolkits should
be performed. A comparison of different IPC toolkits can be found in [6] and may be used as a start to find a suitable toolkit.

### 7.1.2 Sensor layer

The sensor layer is responsible for reading sensor data and perform prefiltering of the data. The data is then distributed through the system via the IPC service. The reading and prefiltering will be performed in the PIPS computer and then communicated to the PC where it is distributed through the IPC service. To avoid time skew of the data the data needs to be timestamped with a common system clock that is synchronized throughout the system. The sensor layer also contains a map of the site. This map could be a 3D scan of the site done with the laser scanner.

### 7.1.3 Perception layer

The perception layer consists of five modules; the map builder, obstacle detection, object detection, pose estimation and bucket pose estimation.

#### Map builder

In the current system the scans are thrown away as soon as the calculations are complete. Due to the static environment of the site this is a huge waste of information. In this system the map builder is constantly fed with the scans from the laser. The laser scanner should be pointed about 20 meters
Chapter 7: Future work

in front of the machine and use the translation to produce a 3D perception of the environment. It uses this information and a SLAM algorithm together with the 3D map of the site to produce a more updated version of the map. The simultaneous localization and mapping (SLAM) algorithm will also supply the location of the machine in the 3D map and this information is sent to the pose estimation.

Obstacle detection

Obstacle detection is a very hard problem but as a start this module can be used to detect static obstacles such as bumps and holes in the ground. During navigation the laser scanner should be pointed so it scans a line about 20 meters in front of the machine. The height differences can then be used to detect obstacles and non-drivable terrain. Information about upcoming obstacles will be sent to the local path planner.

Pose estimation

The pose estimation is responsible for finding the machine’s position and orientation in the coordinate frame. The current system uses an Extended Kalman filter (EKF) to fuse the readings from the different sensors. The filter is already prepared to use the positions supplied by the SLAM algorithm and should be sufficient for the future.

Object detection

The object detection is used to find objects such as the pocket or a hauler. This function is only active during a unload sequence and the obstacle detection need to be turned off while this module is active. The location of objects is then forwarded to the local path planner.

Bucket pose estimation

This module is responsible for estimating the position of the bucket. Today the bucket position is represented by the lift angle and the tilt angle. This gives no information about where the bucket tip is so a conversion from the angles to the actual positions in the Cartesian coordinate frame would be more intuitive.

7.1.4 Planning and control layer

This layer consists of a planning part and a control part. The planning part has a knowledge about the site and can plan ahead. The control part then has the low level control of the machine and is supplied with commands from the planner. The planning part is run on the PC computers while
the controllers is run on the PIP8 computer. The controllers in the PIP8 only provides a simple interface where control signals can be streamed down to the regulators.

**Pile manager**

In the current system the search for a dig point is initiated when the machine has reached the pile area and a scan is received. This is both a waste of time and information, the job for the pile manager is to use the 3D scan of the site and prepare a set of suitable dig points in advance. In this way the pile manager can also control the structure of the pile so the machine does not dig in the same area every time. The information about the most suitable dig point is then supplied to the global path planner.

**Global path planner**

The global path planner uses the 3D map of the site and creates roads between the pockets and the piles. It has information about the most suitable dig points form the pile manager so it can create paths straight to the dig point in every pile. A bucket fill and unload activation point is also programmed into the path so a state change can occur when they are reached. The roads are then recalculated every time something changes. The roads are then supplied to the local path planner.

**Local path planner**

The local path planner is the one that sews all the information together. It uses the road created by the global path planner as a high level navigation goal but it also uses the information from the obstacle handler to make small course changes if necessary. The local path planner finds a drivable path in the area 20-30 meters in front of the machine and produces steer and velocity commands to the controllers so the path can be followed. During a unload sequence it receives the location of the pocket or hauler from the object detector and calculates a path for the bucket which is supplied to the bucket controller.

**Steer controller**

The steer controller receives a stream of commands from the local path planner. The controller regulates the waist angle of the machine and the commands are executed without any concern so it’s up to the local path planner to create feasible commands.

**Throttle/Brake controller**

To have a good throttle and brake control is important in order to have full control of the machine. The controller needs to have simultaneous control of both brake and throttle and then use both
Chapter 7: Future work

brake and throttle to produce the desired output. The controller has two input control parameters, the desired velocity and a desired rpm for the engine. The rpm control signal is supplied by the bucket control system which sometimes need a higher rpm to be able to lift the bucket at the desired speed. This controller is probably one of the more advanced in this system due to the nonlinear behaviour of the brake. A good model must be developed and a lot of research is probably needed to get a working regulator.

Bucket controller

The bucket controller controls the movement of the bucket. Just like the steer controller it has a stream of control signals that is executed without concern. If the speed of the bucket is too low it will be regulated by desiring a higher rpm from the throttle and brake controller. To get very accurate control of the nonlinear hydraulic system a lot of research is needed, however I don’t believe that extremely accurate control of the bucket is necessary to reach the goals. None of the tasks performed with the bucket needs very accurate control if enough safety margin is used.

Bucket fill controller

Some modifications should be performed to the bucket fill controller so it works together with the rest of the system. The current controller has full control over velocity, rpm, steering and the bucket which makes it work as a separate part of the system and this makes the design a bit messy. During a bucket fill in the new system the local path planner will still be responsible for the movement of the machine and the bucket fill controller will only send commands to the bucket controller. In this way the bucket fill controller gets a clean interface and works together with the system instead as a separate system.

7.1.5 Top level control

If the design of the system was done in this way the top level control of the system could be simplified a lot. The statemachine only needs four states in this design and only provides a high level goal to the system. The statemachine receives a workorder from the site controller containing a pile ID and a pocket ID. During the translation to pile state it gives the pile ID to the global path planner that in turn supplies the local path planner with the path to that pile. When the bucket fill activation point is reached it will change state to the bucket fill state. In this state the obstacle avoidance is ignored and the bucket fill regulator is activated. When the bucket fill is complete the translation to pocket state will supply the global path planner with a pocket ID and a navigation to this point will start. When the unload activation point is reached the obstacle avoidance is ignored but the object detection is activated. The local path planner will now calculate a path both for the machine and for the bucket in order to unload the bucket.
7.2 Summary

In this chapter I presented a new design that can make the system more flexible and dynamic. To implement the full design a lot of development and research must be done but if the design is followed it can be implemented in steps with simpler blocks that can be easily changed when a new module is developed. If only one part of this system would be implemented I believe that the map builder and pile manager would have the most positive impact on the current system. The current systems spends a lot of time just waiting to get the pile examined when this already could have been done while we were navigating to the pile.
Chapter 8

Conclusions and summary

The system performed quite well and the productivity goals were met, however problems with robustness and reliability can be found in the system. I believe that the productivity of the system could be increased by simple means to around 50%-60% but to solve the problems with robustness and reliability I see the need for a redesign where considerations about robustness is taken into account from the bottom and up. To achieve safe operation and to be able to increase the velocity during navigation a continuous vision feedback stream is needed so the navigation does not solely depend on waypoint paths and a correct positioning of the machine.

Modularity and flexibility of the design are also important for the ease of future development. The developed system in this thesis offers some more flexibility but yet again I believe that a redesign is necessary to get the flexibility wanted for the future.

Even though there are many issues with the system one must keep in mind that the Autonomous Machine project was never started with the intention to build a commercial system but to show that it’s possible to build autonomous machines with work only done by students. However I believe that the system could be a lot more organized and structured if documentation were created continuously and a complete design plan with the different systems were developed from the beginning. We have proved that it’s possible to perform a complete cycle but a lot of work is needed before we see autonomous wheel loaders on site. Autonomous machines might be the next big revolution in the construction equipment industry and to fall behind now might leave you there for good therefore I hope more funding is given to this project so the research can continue.
Bibliography


Appendix A

Circle splines

A.1 Circle splines

Circle splines can be used if you have N points and want to calculate a smooth path between these points. In [7] they present an algorithm for circle splines that uses a trigonometric circle blending technique. This appendix is a short summary of this article. The concept is shown in figure A.1. To calculate the path between point \( p_2 \) and \( p_3 \) we need the previous and following point. Two circles are then created, one which intersects points \( p_1, p_2 \) and \( p_3 \) and one that intersects points \( p_2, p_3 \) and \( p_4 \). These circles are then blended with a weighting function that gives higher weight to circle one close to point \( p_2 \) and gives a higher weight to circle two closer to point \( p_3 \). In this way a smooth arc is created with the tangents in the end points pointing towards points \( p_1 \) and \( p_4 \). The algorithm is described in detail in the next section.

A.1.1 Algorithm

The problem formulation is shown in figure A.2.

We start by calculating the unit vectors:
\[ \vec{a} = \frac{p_i - p_{i-1}}{|p_i - p_{i-1}|} \]
\[ \vec{b} = \frac{p_{i+1} - p_i}{|p_{i+1} - p_i|} \]
\[ \vec{c} = \frac{p_{i+1} - p_{i-1}}{|p_{i+1} - p_{i-1}|} \]
\[ \vec{d} = \frac{p_{i+2} - p_{i+1}}{|p_{i+2} - p_{i+1}|} \]
\[ \vec{e} = \frac{p_{i+2} - p_i}{|p_{i+2} - p_i|} \]

Next we calculate the tangent angles at \( p_i \) and \( p_{i+1} \):

\[ \tau_i = \arccos (\vec{a} \cdot \vec{c}) \]
\[ \tau_{i+1} = \arccos (\vec{e} \cdot \vec{d}) \]

The blending between the two tangent angles is done with the trigonometric blending function:

\[ \tau(u) = \tau_i \cos^2(u\pi/2) + \tau_{i+1} \sin^2(u\pi/2) \]

Where \( u \) is a parameter that goes from 0 to 1. A point \( P(u) \) on the arc between \( p_i \) and \( p_{i+1} \) can now be described as a distance \( f(u) \) from point \( p_i \) and a deviation angle \( \phi(u) \) form \( \vec{b} \) with the parametrized equations. This can be seen in figure A.2(b).

\[ f(u) = b \frac{\sin(u\tau(u))}{\sin(\tau(u))} \]
\[ \phi(u) = (1-u)\tau(u) \]

By running this algorithm on all your points and then adding the produced arcs you get a smooth curve that travels through all points.
Appendix B

UML statemachine

B.1 Definition

A general definition of a state machine is: "a state machine is any device that stores the status of something at a given time and can operate on input to change the status and/or cause an action or output to take place for any given change". With this definition a state machine can be anything from a light switch to a complete airplane control system. A state machine is said to be built up of the following elements.

- A set of states
- A set of input events
- A set of output actions
- A function that maps states and input to output actions
- A function that maps states and inputs to states
- A description of the initial state

A state is a unique condition in which the state machine can exist during its life time. For the case of a light switch the states can be as simple as on and off. An event is something that happens to the state machine, it can be the event that someone pushes the button on the light switch. The function that maps the states and inputs to states is a list of transitions; a transition describes how the state machine should behave in response to an event based on its current state. For the light switch different transitions occurs when the button is pressed depending on which state the machine is currently in, if it is in state on the transition is to state off and vice versa. The function that maps states and inputs to output actions is a list of actions that should be performed for a given state and input, in the light switch case different actions should be performed depending on state
and event. If the switch is in its off state and a button pressed event is triggered the machine should transition to the on state and perform a power on action. There are a number of defined types of actions that can be performed:

- **Entry action**: This action is performed when a state is entered

- **Exit action**: This action is performed when a state is exited

- **Input action**: This action is performed depending on present state and input conditions

- **Transition action**: This action is performed during a transition

### B.2 Mealy and Moore

State machines are often divided into two basic models, either a Mealy or a Moore machine. There is a difference between the two but one model can always be converted into the other, the choice of model will have influence on the design but you can’t say one is better than the other. In fact a mix between the two is often used in practice. In the definition of a Moore machine there only exists Entry actions which makes the output only depend on the current state. A Mealy machine only uses Input actions which makes the output depend on both the state and input. The Moore machine often needs more states that the Mealy machine.

### B.3 UML statemachine

To overcome the main limitations of the traditional state machine UML has introduced an extended definition introducing new concepts such as hierarchically nested states and orthogonal regions. Below some useful additions are explained.

#### B.3.1 Extended state machine

In the UML standard an extended state machine is a machine that is supplemented with some variables and guards. A guard is a simple Boolean evaluation that has to be true or false before a transition or action is allowed to happen. To give an example of a system where variables and guards are good to use we can think of a system that counts the number of keystrokes from a keyboard and after 10000 strokes the system tells the user to take a break. To implement this without variables we would need over 10000 states, one state for every number of strokes hit so far. This becomes unpractical very fast but with the use of a guard and variable the system can be implemented as in Figure B.1:
B.3.2 Hierarchically nested states

The biggest addition to the UML state machine compared to the traditional state machine is the concept of hierarchically nested states. The concept is pretty simple; states can contain states called substates so a nested structure of states can be created. A state that contains states is called a composite state. This makes it harder to say which state the machine is in because it can actually be in several states at the same time, its superstates and substate. A superstate is a state above the current state in the hierarchy. The nesting provides a great way of sharing behaviour among several states. An event in a nested state machine is first handled by the substate, if the substate has no description of how to handle the event the event will be sent to its superstate where it might be handled. An event can be sent all the way to the top of the hierarchy but the handling always starts in the current state and gets sent up the tree. A calculator example is explained below.

If we were to design the calculator using a traditional state machine we would get a design as in Figure B.2: As we can see a lot of transitions are the same no matter which state you are currently in. In this case you have to specify the transitions in every states transition table. The C and OFF events are certainly states that are shared between all the states, this is a typical situation where the advantages of a nested structure is shown. In figure B.3 a nested design of the same calculator are shown. In this design we see that there is one super state, ON and its different substates that handles the actual calculator. Here the only state that needs to handle the C and OFF state is the superstate ON. If a C or OFF event occurs the current substate have no specification of how
to handle that event and therefore the event is sent to its super state, ON, where it is handled in the correct manner. This structure gives the opportunity to program the state machine with the concept of programming by difference. Instead of creating a new state every time, you only define the differences from its super state. This type of inheritance is called behavioural inheritance.

### B.3.3 Entry and Exit actions

Every state in the UML standard can have an entry action which is executed on entrance to the state and an exit action which is executed when exiting the state. Regardless of how the state is entered or exited the actions will always execute. This provides a great way of dealing with the initialization and cleanup in every state. When programming a UML state machine the allocation and deallocation of memory would be a great examples of how to use these actions.

### B.3.4 Orthogonal regions

An orthogonal region gives a composite state the ability to be in two independent states at the same time. This is used when you have behaviour that is completely independent from each other in the same super state. An example of this could be a keyboard, it has two independent regions. One region for the numerical keypad which can be both in arrow or numerical mode and one region for the main keyboard which can be in the modes caps locked or default mode. In figure 6 this system is shown. Here the states in the orthogonal regions can change independent of each other. This is often implemented in software using a threaded design.
Appendix C

.NET State Machine Toolkit

The toolkit has no fancy name but it implements most of the functions described in the UML-state-machine and it is easy to use. The toolkit was developed by Leslie Sanford and released under the CPOL licence which allows use of the code in commercial projects. To get a taste of how the toolkit works I will explain it through a small example. We will look at a automatic door statemachine. The door can either be in state opened or closed and the door can be opened if it’s in the closed state or closed if it’s in the opened state.

First we define the base of the statemachine. To start with we define the identification number for each state and for each event by defining two enumerations, StateID and EventID. We then define the AutomaticDoor class which inherits the behaviour from the ActiveStateMachine that is defined in the toolkit. When this is done we define a constructor, the states and finally the entry and exit methods for each state. The code can be found below.

```csharp
enum class StateID { Open, Closed };
enum class EventID { Close, Open};

public ref class AutomaticDoor : ActiveStateMachine
{
    public: AutomaticDoor();
    private:
        State ^Open, ^Closed;

        void EntryOpen(void);
        void EntryClosed(void);

        void ExitOpen(void);
        void ExitClosed(void);
}
```

The next stage is to implement what we defined before. In the constructor the behaviour of the
The state machine is defined. To start with we instantiate the states and give them a handle to an entry and an exit method that will be called when the state is entered and exited. The transitions between states is then easily defined by binding a transition to an event in each state. The structure of the state machine is now complete.

```csharp
public class AutomaticDoor
{
    private State Open, Closed;

    public void Initialize(State state)
    {
        state.Transitions.AddEvent((int)EventID.Open, this); // Transition to Open
        state.Transitions.AddEvent((int)EventID.Closed, this); // Transition to Closed
        state.Transitions.AddEvent((int)EventID.Open, this); // Transition to Open
        state.Transitions.AddEvent((int)EventID.Closed, this); // Transition to Closed
    }

    public void Main(string[] args)
    {
        Initialize(Closed);
        Open = gcnew State((int)StateID::Open, gcnew EntryHandler(this, &EntryOpen),
                             gcnew ExitHandler(this, &ExitOpen));
        Closed = gcnew State((int)StateID::Closed, gcnew EntryHandler(this, &EntryClosed),
                              gcnew ExitHandler(this, &ExitClosed));

        Transition trans;

        trans = new Transition(Closed);
        Open.Transitions.Add((int)EventID.Close, trans);

        trans = new Transition(Open);
        Closed.Transitions.Add((int)EventID.Open, trans);

        Initialize(Closed);
    }

    void EntryOpen()
    {
        Console::WriteLine("Entering_Open");
    }

    void EntryClosed()
    {
        Console::WriteLine("Entering_Closed");
    }

    void ExitOpened()
    {
        Console::WriteLine("Exiting_Opened");
    }

    void ExitClosed()
    {
        Console::WriteLine("Exiting_Closed");
    }
}
```

The state machine can now be used by creating an instance of the automatic door and sending events to it.
The output from the code above is shown here.

<table>
<thead>
<tr>
<th>Entering Closed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exiting Closed</td>
</tr>
<tr>
<td>Entering Open</td>
</tr>
<tr>
<td>Exiting Opened</td>
</tr>
<tr>
<td>Entering Closed</td>
</tr>
<tr>
<td>Exiting Closed</td>
</tr>
<tr>
<td>Entering Open</td>
</tr>
<tr>
<td>Exiting Opened</td>
</tr>
<tr>
<td>Entering Closed</td>
</tr>
</tbody>
</table>